

Docket Number: 071469-0309182
Client Reference: PC6042A2



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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

PANDA SIDDHARTHA, et al.

Group Art Unit: 1765

Application No.: 10/821,201

Examiner:

Filed: April 9, 2004

Confirmation No.: 8235

For: METHOD AND SYSTEM FOR DEEP TRENCH SILICON ETCH

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is directed to the references listed on the attached PTO-1449. As this application was filed after June 30, 2003, no copies of the cited U.S. patents or patent application are enclosed, however, one copy of each foreign reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed PTO-1449 to Applicants with the next Office communication, indicating that the references have been considered, per MPEP § 609.

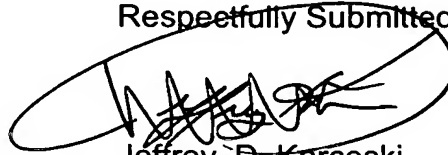
This Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits in the present application. No certification or fee is required.

These references were cited in an International Search Report, dated October 29, 2004 in a corresponding foreign application. U.S. Patent Application No. 2004/0097079 corresponds to WO 02/23609, which is written in Japanese. An English abstract is provided for WO 02/23609 and, together with U.S. Patent Application No. 2004/0097079, is relied upon for a statement of relevance for the non-English reference.

Also enclosed is a copy of the Written Opinion for the corresponding International application, in which the International Preliminary Examining Authority (IPEA) analyzes

the cited references in the International application regarding the PCT criteria of novelty, inventive step and industrial applicability.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read 'Jeffrey D. Karceski', is enclosed within a hand-drawn oval.

Jeffrey D. Karceski
Registration Number 35914
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Date: November 16, 2004

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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of

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Complete if Known

Application Number	10/821,201
Filing Date	04/09/2004
First Named Inventor	PANDA SIDDHARTHA
Art Unit	1765
Examiner Name	
Attorney Docket Number	071469-0309182

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
	1	US-	6,440,858 B1	08-27-2002	CANALE et al.	
	2	US-	6,433,297 B1	08-13-2002	KOJIMA et al.	
	3	US-	6,379,575 B1	04-30-2002	YIN et al.	
	4	US-	6,071,823	06-06-2000	HUNG et al.	
	5	US-	5,298,790	03-29-1994	HARMON et al.	
	6	US-	5,262,002	11-16-1993	GREWAL et al.	
	7	US-	2004/0097079 A1	05-20-2004	MIMURA et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	A	EP	1 329 948	A1	07-23-2003	MIMURA et al.		
	B	WO	02/23609	A1	03-21-2002	MIMURA et al.		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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